

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/716,754	11/19/2003	Ulf R. Hanebutte	INT.P009	6176
45512 7590 12/20/2007 LAWRENCE CHO		EXAMINER		
C/O PORTFOLIOIP			HENEGHAN, MATTHEW E	
P. O. BOX 52050 MINNEAPOLIS, MN 55402			ART UNIT	PAPER NUMBER
			2134	
		·		
			MAIL DATE	DELIVERY MODE
			12/20/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

MA

		Application No.	Applicant(s)			
Office Action Summary		10/716,754	HANEBUTTE, ULF R.			
		Examiner	Art Unit			
		Matthew Heneghan	2134			
Period fo	The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply					
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
1) 又	Responsive to communication(s) filed on <u>02 Oc</u>	ctober 2007				
	This action is FINAL . 2b) This action is non-final.					
•	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
,—	closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims						
	 Claim(s) 1-27 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 					
	5) Claim(s) is/are allowed.					
	6)⊠ Claim(s) <u>1-27</u> is/are rejected.					
	Claim(s) 1-27 is/are rejected. Claim(s) is/are objected to.					
	Claim(s) are subject to restriction and/or	election requirement				
	on Papers					
9) The specification is objected to by the Examiner.						
10) \boxtimes The drawing(s) filed on <u>19 November 2003</u> is/are: a) \boxtimes accepted or b) \square objected to by the Examiner.						
	Applicant may not request that any objection to the d					
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 						
2) Notice (3) Inform	e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948) nation Disclosure Statement(s) (PTO/SB/08) r No(s)/Mail Date	4) Interview Summary (Paper No(s)/Mail Da 5) Notice of Informal Pa 6) Other:	te			

DETAILED ACTION

- 1. In response to the previous office action, Applicant has amended claims 1,
- 8, 14, and 20-23 and added claims 26 and 27. Claims 1-27 have been examined.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 2. Claims 1-6 and 20-27 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 4,962,449 to Schlesinger in view of U.S. Patent No. 7,228,563 to Szor.

As per claims 1, 4, and 23-27, Schlesinger discloses a computer security system in which a switchboard (the server) regulates an LRD workstation (the client), which is capable of processing data. The server may send the client location registration information, an LSC (derived form the client's location ID), thereby synchronizing the client's database (see column 7, line 48 to column 8, line 14). The server later uses the client location information in handling a login attempt (an event), based on a workstation/location-based security map (see

Application/Control Number:

10/716,754

Art Unit: 2134

column 6, lines 30-35), and locks it out if the information is incorrect, as it has been determined that a particular location is to be locked out in view of this relevant event (see column 9, line 39 to column 10, line 40). Since the workstation's only regular use may be to interface with the server (as is common in the art with workstations), one skilled in the art would recognize that that this lock-out could prevent the client system from performing regular computing operations.

Schlesinger does not disclose what the client should do when it is locked out.

Szor discloses the notification to the user of the error condition using a pop-up window (a splash screen), in order to notify the user as to what is happening.

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to implement the invention of Schlesinger by having the client show a pop-up window the user, as disclosed by Szor, in order to notify the user as to what is happening.

Since all of Schlesinger's client functionalities are downloaded from the server to the client, such functionality must be sent to the client as part of the database, and used from the client's local storage.

Regarding claim 20, Schlesinger does not disclose the embodiment of the software on a disk.

Official notice is given that it is well known in the art to deliver software to be used on disks, for portability.

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the invention of Schlesinger and Szor by delivering the software on disk.

Regarding claims 2 and 21 the loading of the LSC to the client is done during initialization; therefore, a determination is made that the client lacks location information so that it can be populated by the server.

Regarding claims 3 and 22, upon removing a lock-out state from a client, the server, having determined the client has an invalid LSC, re-initializes it with a new (current) version (see column 10, lines 43-47).

As per claim 5, the server may send a signal to unlock the client (see column 10, lines 40-43).

As per claim 6, the server may save all information regarding system usage (see column 11, lines 21-30).

3. Claims 7-19 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 4,962,449 to Schlesinger in view of U.S. Patent No. 7,228,563 to Szor as applied to claim 1 et al. above, and further in view of U.S. Patent No. 7,080,405 to Himmel et al.

Although Schlesinger and Szor disclose support for mobile clients (see column 8, lines 54-62), Schlesinger only discloses the use of a network over fixed telephone lines, rather than using wireless connections, as is common in mobile computers.

Art Unit: 2134

Himmel discloses network management over a wireless network wherein clients are controlled via wireless messages (see column 3, lines 36-44) to all units in the environment (i.e. in range) (see column 4, lines 15-21) and control messages may be broadcast to all units either in a location or in a facility, regardless of location within the facility, (see column 3, line 65 to column 4, line 1) in order to limit uses of all devices in a facility.

Therefore, it would be obvious to one of ordinary skill in the art at the time the invention was made to modify the invention of Schlesinger and Szor by broadcasting commands over wireless networks to at units that are in range at a location, in order to limit uses of all devices in a facility.

Response to Arguments

4. Applicant's arguments filed 2 October 2007 have been fully considered but they are not persuasive.

Regarding Applicant's argument that Schlesinger does not show the preventing of a user from performing regular computing operations during a lock-out, it is noted that Schlesinger discloses no other utility for the worfkstations other than to interface the server; therefore, the only "regular" operations in a deployment would be with the server to which the workstation is being denied access.

Conclusion

10/716,754

Art Unit: 2134

5. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Matthew E. Heneghan, whose telephone number is (571) 272-3834. The examiner can normally be reached on Monday-Friday from 8:30 AM - 4:30 PM Eastern Time.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kambiz Zand, can be reached at (571) 272-3811.

Any response to this action should be mailed to:

Commissioner of Patents and Trademarks P.O. Box 1450

Application/Control Number:

10/716,754

Art Unit: 2134

Alexandria, VA 22313-1450

Or faxed to:

(571) 273-3800

Any inquiry of a general nature or relating to the status of this application

or proceeding should be directed to the receptionist whose telephone number is

(571) 272-2100.

Information regarding the status of an application may be obtained from

the Patent Application Information Retrieval (PAIR) system. Status information

for published applications may be obtained from either Private PAIR or Public

PAIR. Status information for unpublished applications is available through

Private PAIR only. For more information about the PAIR system, see http://pair-

direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-

free).

/Matthew Heneghan/

Primary Patent Examiner, USPTO AU 2134

Page 7

December 17, 2007